

524 Rec'd PCT PTO 28 OCT 1999

FORM PTO-1390
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

TSRI 463.4

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/423035

INTERNATIONAL APPLICATION NO.
PCT/US98/08677INTERNATIONAL FILING DATE
29 April 1998PRIORITY DATE CLAIMED
29 April 1997

TITLE OF INVENTION

ENZYMATIC DNA MOLECULES

APPLICANT(S) FOR DO/EO/US

Joyce, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). - unsigned
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - Return Receipt Postcard
 - Certificate of Express Mailing

PCT/US98/08677

TSRT 463.4

CALCULATIONS PTO USE ONLY

CONCLUSIONS

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4)\$96.00

\$ 670.00

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TOTAL OF ABOVE CALCULATIONS =

\$ 1294.00

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\$ 1294.00

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\$ 1294.00

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\$ 1294.00

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charged

- a. ☒ A check in the amount of \$ 1,294.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0962. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

SIGNATURE:

Thomas Fitting

NAME _____

34.163

REGISTRATION NUMBER

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09/423035

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this TRANSMITTAL LETTER FOR FILING UNDER 35 U.S.C. 371 and the documents referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service Mail Label No. EL188619315US under 37 CFR 1.10 on the date indicated below and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Attn: Box PCT, Washington, D.C. 20231.



Thomas Fitting, Reg. No. 34,163

October 28, 1999

Date of Deposit

Applicant: Joyce, et al.)	
)	
International)	
Serial No.: PCT/US98/08677)	
)	
International Filing)	
Date: 29 April 1998)	
)	
Title: ENZYMATIC DNA MOLECULES)	Our Ref.: TSRI 463.4
_____)	